



PROPOSED RULE-MAKING
(RCW 34.05.320)

CR-102 (7/23/95)

Agency: Public Disclosure Commission

- ☒ Original Notice
☐ Supplemental Notice
to WSR _____
☐ Continuance of WSR

Preproposal Statement of inquiry was filed as WSR 00-16-146; or

☐ Proposal is exempt under RCW 34.05.310(4).

(a) Title of rule (Describe Subject):

WAC 390-24-020 Forms for amending statement of financial affairs.

Purpose: To implement privacy concerns by eliminating the need for filers to identify dependent children who are not employed and do not have other reportable assets or liabilities and by requesting the contact telephone number for the filer.

Other identifying information:

(b) Statutory authority for adoption:

RCW 42.17.370(1)

Statute being implemented:

RCW 42.17.240

(c) Summary:

Every elected official, candidate, and executive state officer is required to file a statement of financial affairs with the Commission. This rule would eliminate the need for persons filing statements of financial affairs to identify dependent children who are not employed and do not have other reportable assets or liabilities.

Reasons supporting proposal:

The rule is consistent with the Governor's Executive Order 00-03 and other privacy concerns expressed by the Commission. The statement of financial affairs is designed to disclose the financial interests and holdings of filers and their immediate family members. No overriding public policy purpose is served by requiring the identity of dependents who are not employed and do not have other reportable assets or liabilities.

(d) Name of Agency Personnel Responsible for:

Office Location

Telephone

1. Drafting	Doug Ellis	PDC, 711 Capitol Way, Rm 403, Olympia	(360) 664-2735
2. Implementation	Doug Ellis	PDC, 711 Capitol Way, Rm 403, Olympia	(360) 664-2735
3. Enforcement	Phil Stutzman	PDC, 711 Capitol Way, Rm 403, Olympia	(360) 664-8853

(e) Name of proponent (person or organization):

Public Disclosure Commission

- ☐ Private
☐ Public
☒ Governmental

(f) Agency comments or recommendations, if any, as to statutory language, implementation, enforcement, and fiscal matters: None

(g) Is rule necessary because of:

Federal Law?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
Federal Court Decision?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
State Court Decision?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Citation:

(h) Hearing location:

Commission Hearing Room
Evergreen Plaza Building
711 Capitol Way, Room 206
Olympia, WA

Submit written comments to:

Doug Ellis
Public Disclosure Commission
PO Box 40908
Olympia, WA 98504-0908
Fax (360) 753-1112 by October 20, 2000

DATE OF INTENDED ADOPTION:

October 24, 2000

Date: October 24, 2000

Time: 9:00 a.m.

Assistance for persons with disabilities: Ruthann Bryant (360) 753-1111

NAME

Vicki Rippie

SIGNATURE

TITLE Executive Director

DATE

9/20/00

CODE REVISER USE ONLY

SEP 20 2000

11:59
00-19-115

(j) Short explanation of rule, its purpose, and anticipated effects:

This rule would eliminate the need for persons filing statements of financial affairs to identify dependent children who are not employed and do not have other reportable assets or liabilities. The rule amendment is consistent with Executive Order 00-03 signed by the Governor on April 25, 2000.

Does proposal change existing rules: ☒ YES ☐ NO If yes, describe changes:

This rule would eliminate the need for persons filing statements of financial affairs to identify dependent children who are not employed and do not have other reportable assets or liabilities.

The rule also provides for a contact telephone and a room number change.

(k) Has a small business economic impact statement been prepared under chapter 19.85 RCW?

☐ YES. Attach copy of small business economic impact statement.
A copy of the statement may be obtained by writing to:

☒ NO. Explain why no statement was prepared.

The implementation of this rule does not impact small businesses. It would only apply to individuals filing statements of personal financial affairs.

(l) Does section 201, chapter 403, Laws of 1995, apply to this rule adoption? ☐ Yes ☒ No
Please explain:

The PDC is not an agency listed in subsection (5)(a)(i) of section 201. Further, the PDC does not voluntarily make section 201 applicable to this rule adoption pursuant to subsection (5)(a)(ii) of section 201, and to date JARRC has not made section 201 applicable to this rule adoption.

AMENDATORY SECTION (Amending WSR 97-23-020, filed 11/10/97)

WAC 390-24-020 Forms for Amending Statement of Financial Affairs. (1) The official form for amending statements of financial affairs as required by RCW 42.17.240 for all persons who have previously filed the form F-1 is designated form "F-1A," revised ((11/97)) 11/00.

(2) No more than three F-1A forms may be filed to amend a previously submitted statement of financial affairs (form F-1). The form can be used only to update information required on an F-1.

(3) The commission reserves the right to reject amendatory forms and require a new statement of financial affairs (form F-1) at any time the amendments are confusing or create misunderstandings. Authority is delegated to the commission's executive director to make this determination.

(4) Copies of Form F-1A are available at the commission office, 711 Capitol Way, Room ((403)) 206, Evergreen Plaza Building, PO Box 40908, Olympia, Washington 98504-0908. Any attachments must be on 8-1/2" x 11" white paper.

PDC FORM
F-1A
(11/97)

**PERSONAL FINANCIAL
AFFAIRS STATEMENT
Short Form**

PDC OFFICE USE
RECEIVED
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K

The F-1A form is designed to simplify reporting for persons who have no changes or only minor changes to an F-1 report previously filed.
A complete F-1 form must be filed at least every four years; an F-1A form may be used for no more than three consecutive reports.

Deadlines: Incumbent elected and appointed officials—by April 15.
Candidates and others—within two weeks of becoming a candidate or being newly appointed to a position.

DOLLAR CODE	AMOUNT
A	\$1 to \$2,999
B	\$3,000 to \$14,999
C	\$15,000 to \$29,999
D	\$30,000 to \$74,999
E	\$75,000 or more

Last Name	First	Middle Initial	Names of Spouse and Dependents	Political Party If partisan office or pertinent to appointment
Mailing Address				
City	County	Zip + 4		

Filing Status (Check only one box.)

- ☐ An elected official or state appointed official filing annual report
- ☐ Final report as an elected official. Term expired _____
- ☐ Candidate running in an election: month _____ year _____
- ☐ Newly appointed to an elective office
- ☐ Newly appointed to a state appointive office

Office Held or Sought

Office title _____

County, city, district or agency of the office,

name and number: _____

Position number _____

Term begins: _____ ends: _____

Select either "No Change Report" or "Minor Change Report," whichever reflects your situation. Supply all the requested information.

- ☐ **NO CHANGE REPORT.** I have reviewed my last complete F-1 report dated _____ and F-1A reports (if any) dated (1) _____ and (2) _____. The information disclosed on those reports is accurate for the current reporting period.
- ☐ **MINOR CHANGE REPORT.** I have reviewed my last complete F-1 report dated _____. The changes listed below have occurred during the reporting period. Specify F-1 Form item numbers when describing changes. Provide all information required on F-1 report.

Check here ☐ if continued on attached sheet

**FOOD
TRAVEL
SEMINARS**

Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse or dependents, or a combination thereof:

1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)

Check here ☐ if continued on attached sheet

ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

- ☐ I hold a state elected office or am an executive state officer. I have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns.
- ☐ I hold a local elected office. I have read and am familiar with RCW 42.17.130 regarding the use of public facilities in campaigns.

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

Signature _____ Date _____
Daytime Telephone: () _____

PDC FORM
F-1A
 (11/00)

PERSONAL FINANCIAL
AFFAIRS STATEMENT
 Short Form

P M PDC OFFICE USE
 O A
 S R
 T K

The F-1A form is designed to simplify reporting for persons who have no changes or only minor changes to an F-1 report previously filed.
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Deadlines: Incumbent elected and appointed officials – by April 15.
 Candidates and others – within two weeks of becoming a candidate or being newly appointed to a position.

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A	\$1 to \$2,999
B	\$3,000 to \$14,999
C	\$15,000 to \$29,999
D	\$30,000 to \$74,999
E	\$75,000 or more

R
E
C
E
I
V
E
D

Last Name _____ First _____ Middle Initial _____			Names of immediate family members. If there is no reportable information to disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse. See F-1 manual for details.
Mailing Address (Use PO Box or Work Address) _____			
City _____	County _____	Zip + 4 _____	
Filing Status (Check only one box.) <input type="checkbox"/> An elected or state appointed official filing annual report <input type="checkbox"/> Final report as an elected official. Term expired: _____ <input type="checkbox"/> Candidate running in an election: month _____ year _____ <input type="checkbox"/> Newly appointed to an elective office <input type="checkbox"/> Newly appointed to a state appointive office			Office Held or Sought Office title: _____ County, city, district or agency of the office, name and number: _____ Position number: _____ Term begins: _____ ends: _____

Select either "No Change Report" or "Minor Change Report," whichever reflects your situation. Supply all the requested information.

- ☐ **NO CHANGE REPORT.** I have reviewed my last complete F-1 report dated _____ and F-1A reports (if any) dated (1) _____ and (2) _____. The information disclosed on those reports is accurate for the current reporting period.
- ☐ **MINOR CHANGES REPORT.** I have reviewed my last complete F-1 report dated _____. The changes listed below have occurred during the reporting period. Specify F-1 Form Item numbers describing changes. Provide all information required on F-1 report.

Check here ☐ if continued on attached sheet

FOOD TRAVEL SEMINARS Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)

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ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

- ☐ I hold a state elected office or am an executive state officer. I have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns.
- ☐ I hold a local elected office. I have read and am familiar with RCW 42.17.130 regarding the use of public facilities in campaigns.

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

Signature _____ Date _____
 Contact Telephone: () _____

Report Not Acceptable Without Filer's Signature